Portonave S/A (“Portonave”) is committed to guaranteeing the highest standard of integrity in conducting its business and believes that, in order to achieve this objective, it needs to ensure that all its relationships are guided by honest conduct and in compliance with relevant laws and regulations.

For this purpose, this Self-Assessment Questionnaire (“Questionnaire”) is an integral part of Portonave's internal procedures to identify the main risks to which Portonave may potentially be exposed and effectively mitigate them.

The mission of the Questionnaire is to provide Portonave with a deeper understanding of your personal data, therefore, we ask you to kindly answer the questions in a clear, detailed, and complete manner. All information provided by your company in this Questionnaire will be confidential and will be in compliance with the General Data Protection Law.

**INSTRUCTIONS FOR COMPLETING THE QUESTIONNAIRE:**

* Before providing the information and statements contained in this questionnaire, make sure that they are reliable and that they can be substantiated. The data recorded in this questionnaire is the sole responsibility of its signatories.
* All questions must be answered. No question can go unanswered.
* If necessary and at the discretion of PORTONAVE, additional information or documents may be requested.
* Fill out the document on the computer and send it in digital form, with the responsible person's signature.

1. GENERAL INFORMATION

Company Name:

CNPJ:

Website:

Full Address:

Social Purposes:

Industry:

Date of organization of the company:

Contact email address:

Service to be provided:

2. Full name(s) and CPF of the organization's manager(s):

3. Do the managers(s) have equity interests in companies? YES [ ]   NO [ ]

If affirmative, please describe the Company Name, CNPJ, and percentage of participation:

4. Has the company, its controlling, controlled, affiliated or consortium partners, already been submitted to investigation related to any type of anticompetitive practice by CADE in the last 05 years? YES [ ]   NO [ ]

If affirmative, please describe:

5. Has your company, its controlling, controlled, affiliated, or consortium members ever been accused, investigated, criminally prosecuted, or convicted of fraud, corruption, or money laundering in the last 05 years? YES [ ]   NO [ ]

If affirmative, please describe:

6. Has(ve) the manager(s) ever been arrested, charged, investigated, criminally prosecuted or convicted of fraud, corruption or money laundering in the last 05 years? YES [ ]   NO [ ]

If affirmative, please describe:

7. Will the company have any type of interaction with public agents to provide the supply or provision of services? YES [ ]   NO [ ]

If affirmative, please describe:

8. Do(es) the manager(s) have any type of family relationship with a national or foreign public authority, regardless of the level of the public authority, or whether they belong to an autarchy or mixed capital company?YES [ ]   NO [ ]

If affirmative, please describe:

9. Is(are) the manager(s) part of any non-profit association, which may have a public official as a member or part of its management? YES [ ]   NO [ ]

If affirmative, please describe:

10. Does the company have a Code of Conduct/Ethics or related documents that describe the ethical conduct that must be observed by members of Senior Management, employees, service providers, and suppliers? YES [ ]   NO [ ]

If affirmative, attach the document to the questionnaire.

If negative, please explain:

11. Does the company carry out training for its employees on Compliance and corruption fighting? YES [ ]   NO [ ]

If negative, please explain:

12. Do the company, it’s controlling, controlled, affiliated, or consortium members have offices or businesses in countries considered to be tax havens? YES [ ]   NO [ ]

If negative, please explain:

13. Does the company appear or was it already included in the National Register of Disreputable and Suspended Companies (CEIS) from the Transparency Portal? YES [ ]   NO [ ]

If affirmative, please explain:

14. Does the company have a personal data privacy policy applied to third parties published on its corporate website? YES [ ]   NO [ ]

If affirmative, attach the document to the questionnaire.

If negative, please explain:

15. Does the company have a process for collecting, processing, and storing personal data of employees, customers, and suppliers in accordance with the General Data Protection Law? YES [ ]   NO [ ]

If affirmative, please describe:

16. Does the Company have an Authorized Economic Operator Certification – OEA?

[ ]  YES (attach proof of certification)

[ ]  NO (declares to undertake to meet the levels of compliance and reliability required by the OEA program, whose principles are available on the website of the Internal Revenue Service of Brazil; to adopt preventive and corrective measures against failures and irregularities that may compromise the security of the logistics chain in operations carried out with the Terminal and communicate the Terminal any irregularities and incidents occurring in their operations.

**STATEMENT**

I declare and attest for the due purposes that the information provided herein, as well as the documents provided, are true and that no data has been omitted.

If at any time the information or documents submitted in this questionnaire no longer represent reality, I agree to immediately notify PORTONAVE Group and provide a complementary report detailing said change.

I further declare that I undertake to prevent bribery on my behalf or for the benefit of the business partner in connection with the relevant transaction, activity, project, or relationships.

|  |
| --- |
| Date:       |
| Signature:      |
| Full name:       |
| Position:       |
| Company Name:      |